THE COMPLIANCE BRIEFING
PRACTICAL INTELLIGENCE FOR COMPLIANCE PROFESSIONALS
TRAINING GUIDE

AML & GRC TRAINING

Choosing Your Course

A practical guide to AML, financial crime and GRC training for every stage of your career

> *Compliance careers are not built on qualifications alone. They are built on the judgement, evidence and technical depth that the right training develops. This guide sets out, stage by stage, which courses in the TCB Training Shop will do the most for you right now, and why.*

The Compliance Briefing Training Shop brings together the accredited course catalogue delivered by FCC Consulting Ltd, an Approved Training Partner of the Association of Governance, Risk & Compliance (AGRC). The catalogue spans single-topic Financial Conduct Authority (FCA) modules, bundled compliance libraries, AGRC Level 3 Certificates and full AGRC Diplomas, and it is deliberately broad, which means the harder question is rarely whether a suitable course exists, but rather which one to start with.

Courses are delivered on the platform of the London Governance & Compliance Academy (LGCA), part of the European Institute of Management and Finance (EIMF) Group, one of Europe’s leading providers of certified and executive education in governance, risk, compliance and finance. LGCA has delivered more than fifteen thousand completed trainings to professionals worldwide, and the certificates and diplomas set out in this guide carry recognised CPD credit alongside their formal AGRC accreditation, so the time you put into study counts toward your ongoing regulatory training requirements as well as your CV.

This guide answers the "which one first" question by career stage, setting out a goal for each stage, the courses that best serve it, and the outcome you should expect once you have completed them, so that you can use it as a decision tool rather than a catalogue to read end to end.

0 1

Career Starters and Career Changers

Goal. *Establish credible, accredited foundations in AML, financial crime and compliance before you have live casework to point to.*

If you are new to Financial Crime Compliance (FCC), the fastest route to credibility is a recognised Level 3 certificate combined with one or two focused single-topic modules that map directly to the roles you are applying for. Employers hiring at analyst level are not expecting deep specialism yet; they are looking for evidence that you understand the framework and can be trusted with real files quickly.

AGRC Level 3 Certificates — £750 each

Each certificate includes examination and one year of AGRC membership, giving you an internationally recognised credential rather than a completion badge.

Certificate	Best suited to
AGRC Certificate in AML	KYC/CDD or transaction monitoring analyst roles
AGRC Certificate in KYC and CDD	Onboarding, remediation and due diligence roles
AGRC Certificate in Compliance (Level 3)	General compliance or risk associate roles
AGRC Certificate in Sanctions Compliance	Sanctions screening analyst roles
AGRC Certificate in Risk Management	Junior risk or control function roles

Single-Topic Foundation Modules — £75 each

These short, focused FCA-aligned modules are the fastest way to demonstrate specific technical grounding on a CV, and pair well with a Level 3 Certificate rather than replacing it.

- **Anti-Money Laundering and Counter Terrorist Financing.** Core AML/CTF obligations under the UK regime.
- **Introduction to KYC and CDD.** The building blocks of onboarding and identity verification.
- **AML Risk Assessment.** How firms rate customer and product risk in practice.
- **Suspicious Transactions/Activity Reporting.** Recognising and escalating suspicious activity correctly.
- **TPRM Essentials: Designing an Effective Policy & Risk Framework.** Written by Michael Harris, this module covers third-party risk policy design and the vendor risk lifecycle across borders.

What success looks like at this stage is clean, consistent casework, well-reasoned dispositions and a certificate on your CV that a hiring manager recognises without having to ask what it means.

0 2

Practising Compliance, AML and Risk Professionals

Goal. *Deepen technical capability across the full compliance remit and prepare for promotion or role expansion.*

Once you are established in a compliance or risk role, the priority shifts from foundational knowledge to breadth and currency. The FCA Compliance Libraries are built for exactly this stage. Each library bundles related single-topic modules at a lower combined price than buying them individually, and each is structured so you can complete it around live casework rather than instead of it.

Library	Price	Covers
UK Financial Crime Prevention Library	£299	AML, ABC, fraud, sanctions and CDD modules together
FCA Compliance Essentials	£499	The broadest bundle, spanning conduct, governance and financial crime
Compliance Processes Library	£250	CDD, risk assessment and monitoring processes
Compliance Basics Library	£250	Core regulatory literacy across the FCA handbook
Conduct Library	£199	SM&CR, conduct rules and culture
Regulatory Framework Library	£120	The FCA's role, approach and supervisory framework
Compliant Operations Library	£150	Complaints, vulnerable customers and treating customers fairly
Data Protection & Cybersecurity Library	£100	Data governance obligations that intersect with AML

Deepen a Specialism — AGRC Certificates, £750 each

If your firm's risk profile is concentrated in a particular area, the equivalent AGRC certificate builds recognised specialist depth: AGRC Certificate in Sanctions Compliance for sanctions-heavy portfolios, AGRC Certificate in ESG Principles & Standards for firms building ESG governance, and AGRC Certificate in Financial Crime Prevention for Cryptocurrencies for firms with virtual asset exposure.

What success looks like at this stage is that you can quantify the risk reduction or efficiency gained from a control you have improved, and you have the accredited depth to back a case for promotion or a lateral move into a specialist track.

0 3

Governance and Risk Leaders

Goal. *Move into strategic ownership of AML, financial crime or enterprise risk, with a credential that supports board and regulator-facing responsibility.*

At senior analyst, team lead or Money Laundering Reporting Officer (MLRO) level, the credential that matters most is one that regulators, auditors and boards will recognise as evidence of genuine strategic capability rather than a refresher on the basics you mastered years ago, and the AGRC Diplomas are built for exactly that purpose.

Diploma	Price	Best suited to
AGRC Diploma in Financial Crime Prevention	£1,800	MLROs and heads of financial crime setting programme strategy and risk appetite
AGRC Diploma in Governance, Risk & Compliance	£1,800	Senior compliance and risk leaders with board or committee-facing responsibility

Both diplomas go beyond process into the judgement calls that define senior roles: setting risk appetite, defending a programme to auditors and supervisors, and briefing a board with clarity under scrutiny. Pair a diploma with your firm's own governance evidence, committee papers, regulator correspondence you have led, or a remediation you have owned, and you have a genuinely board-ready case for progression.

KEY POINT

A diploma alone does not make the case for a senior role. It is the credential that makes your evidenced track record credible to people outside your organisation, so bring both to the table when you make your case.

0 4

AI, Technology and Emerging Risk

Goal. *Build fluency in how artificial intelligence (AI) and RegTech are changing AML and compliance practice, without losing the professional judgement that regulators still expect.*

AI is now a live topic in every serious compliance conversation, from transaction monitoring model governance to using AI tools to draft first-pass risk assessments, and both courses addressing it directly in the catalogue below were written by Michael Harris, so the content reflects practitioner experience rather than a generic AI curriculum retrofitted onto compliance terminology.

- **Anti Money Laundering & KYC with AI: Governance, Risk Intelligence, and Future-Ready Compliance (£100).** Written by Michael Harris, this course covers how AI is used across AML and KYC workflows, the governance questions it raises, and where human judgement remains non-negotiable.
- **AML Technology: Strategy, Execution & Future-Ready Compliance (£75).** Also written by Michael Harris, this is a practical grounding in RegTech strategy, covering how firms select, implement and govern AML technology.

What success looks like at this stage is that you can speak credibly to how your firm's AML technology and AI tools actually work, not just what they are supposed to do, and you can identify where governance gaps are most likely to sit.

0 5

Coming Soon: Ultimate Beneficial Ownership (UBO) Identification

Goal. *Build standalone UBO capability instead of relying on the thirty minute sub-topic most KYC and AML programmes currently offer.*

> *Beneficial ownership is one of the most searched-for pieces of information in compliance work, yet most professionals have received their UBO training as a brief sub-topic inside a broader course rather than as a subject in its own right.*

Regulators have spent years closing the loopholes that let anonymous shell companies hold assets, move money and evade scrutiny, from the Financial Action Task Force (FATF) revision of Recommendation 24 in February 2023, through the European Union’s successive Anti-Money Laundering Directives, to the United States Corporate Transparency Act and the United Kingdom’s Economic Crime and Corporate Transparency Act. A new course from Michael Harris addresses UBO as a standalone subject across five modules totalling two hours, moving from what beneficial ownership actually means through identification in complex multi-layer structures, verification and documentation, sanctions and Enhanced Due Diligence, and finally monitoring obligations and governance.

What You Will Be Able to Do

- **Define UBO accurately across the EU, UK, US, Singapore and UAE.** Covers threshold rules and jurisdiction-specific variations rather than a single generic definition.
- **Trace ownership chains through complex structures.** Holding companies, Special Purpose Vehicles, trusts and multi-layer offshore arrangements are each covered in turn.
- **Apply the FATF multi-pronged verification approach.** Using registries, declarations and independent sources together, rather than treating registry data as confirmation on its own.
- **Recognise deliberate concealment.** Nominee arrangements, circular structures and bearer instruments are covered as red flags rather than edge cases.
- **Build a UBO declaration and audit trail that satisfies regulatory inspection.** Including when Enhanced Due Diligence is required and how to run ongoing monitoring against triggering events.

This course does not yet have a store page or a confirmed launch date. It will be added to the TCB Training Shop, and to this guide, as soon as it publishes.

0 6

Which Course, When: A Quick Reference

If you only take one thing from this guide, use the table below as your decision point.

Stage	Start here	Typical investment
New to compliance	One AGRC Level 3 Certificate + a foundation module	From £825
Practising professional	A relevant FCA Compliance Library	£100 – £499
Building a specialism	An additional AGRC Level 3 Certificate	£750
Senior/leadership track	An AGRC Diploma	£1,800
AI and technology fluency	AML & KYC with AI or AML Technology	£75 – £100

Why Train Through the TCB Training Shop

- **Accredited, not generic.** Courses are delivered by FCC Consulting Ltd as an Approved Training Partner of the AGRC, giving certificates and diplomas that are recognised internationally.
- **Backed by an established platform.** Delivery runs through the London Governance & Compliance Academy, part of the European Institute of Management and Finance Group, which has delivered more than fifteen thousand completed trainings and holds recognised standing alongside bodies such as CISI, ACCA and IAPP.
- **Counts toward your CPD.** Every certificate and diploma in this guide carries recognised continuing professional development credit, so study time supports your regulatory training record as well as your CV.
- **Built for working professionals.** Every course is self-paced, with exams sat on demand, so study fits around live casework rather than competing with it.
- **Written by a practitioner, including Michael Harris himself.** Course content reflects seventeen years of hands-on AML and financial crime compliance work rather than theory drawn from a generic curriculum, and several of the modules in this catalogue, including TPRM Essentials, Anti Money Laundering & KYC with AI, AML Technology: Strategy, Execution & Future-Ready Compliance, and the forthcoming UBO Identification course, were written by Michael Harris personally.

Ready to start? **Visit the Training Shop** to browse the full catalogue and enrol.

Still unsure which course fits your situation? Contact Michael Harris directly at michael.harris@fccconsulting.co.uk for personalised guidance before you commit.